



## ASDEX Upgrade (AUG) Publication Rules in H2020

### 1. General principles

- The following procedure applies to all publications based on results produced on AUG.
- The categories of publications concerned are:
  - publications in scientific journals
  - preprints
  - chapters (figures) in books
  - conference papers
  - oral presentations at conferences and workshops
  - poster presentations at conferences and workshops
  - reports containing unpublished results
  - web material for public sites
  - data as input for (multi-machine) databases
- Access to AUG data (normally provided by a personalized account for the AUG computer system) is considered ‘ad personam’. **Handing out AUG data to third parties is in general prohibited**, or only allowed with the permission of the AUG project leader.
- Proposals for publications may originate from all participants in AUG activities.
- Authors (incl. co-authors) must have been involved to a significant degree in either the experiment which produced the material to be reported, in the analysis or interpretation of data, in the theoretical or computational work described, in the design/construction of equipment, in operation of AUG, a heating or a diagnostic system.
- **Clearance** is required for all publications reporting on AUG material and is requested through the **electronic IPP pinboard**: <https://pinboard.ipp.mpg.de> However, ad-hoc presentations of preliminary data in working meetings (small workshops) are allowed without clearance as long as the **preliminary or invalidated status of the data is clearly stated**.
- The electronic pinboard is accessible for all members of the AUG team including external co-workers with a personalized userid.
- For all publications using data obtained with EUROfusion funds, it is the responsibility of the authors to obey the publication rules of the EUROfusion consortium represented by the MST1 Task Force Leaders, and to put the publication on the EUROfusion pinboard.  
In all other cases approval by the EUROfusion consortium is not required.
- **Clearance by the AUG Project Leader** (or his nominee) **representing the ASDEX Upgrade project** will be given electronically using the **IPP pinboard** and is **mandatory for all publications using AUG data**.

- **Clearance from the EUROfusion consortium** (where applicable) will be given electronically on the EUROfusion pinboard by one of the MST1 Task Force Leaders.
- The 'ASDEX Upgrade team' has to be part of the author list.  
If a journal does not allow this, 'ASDEX Upgrade' must be mentioned in the title.
- Publications which rely to a significant extent on results obtained with AUG hardware developed and operated by a collaborating research unit require their approval.
- It is the responsibility of the authors to obey the **publication rules of their home institution**.
- Modification of this document requires the approval of the AUG Project Leader and the relevant representative of the EUROfusion consortium.

## 2. Journal Publication Procedure

- Prior to publication, experimental results and their interpretation should in general be presented to the AUG team in an AUG Seminar or in a joint AUG / MST1 Seminar which will also be accessible via video conferencing.
- Participants in the AUG activities propose topics for papers; on this basis the AUG Project Leader, AUG Group Leaders, the AUG Task Force Leaders as well as the MST1 Task Force Leaders give advice in the preparation of drafts and check the proposed author list for appropriateness and completeness.
- At least two weeks prior to submission to the journal, the manuscript has to be put on the **electronic IPP pinboard** (see above) into the category 'ASDEX Upgrade' for discussion with the AUG team. This is also the first step of the clearance procedure.
- All papers have to be approved by the AUG Project Leader or by his nominee which is only possible via electronic submission to the IPP pinboard.
- It is the responsibility of the authors to **initiate in due time the clearance procedures of their home institution and of the EUROfusion consortium represented by the MST1 Task Force Leaders** (where applicable, see general principles, above).
- The acknowledgement has to include: **“This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training programme 2014-2018 under grant agreement No 633053. The views and opinions expressed herein do not necessarily reflect those of the European Commission.”**
- In case no consensus is reached on a proposed publication, the final **decision is up to the AUG Project Leader and a representative of the EUROfusion consortium**.

## 3. Conference Publication Procedure

- Draft **abstracts have to be submitted to the electronic pinboard** (see above) in order to ensure the overall scientific coherence of all publications and the appropriateness of authorship at least two weeks before the submission deadline.
- For oral presentations and posters (especially for invited talks) rehearsals must be organized in due time either at IPP Garching or by video conferencing.
- In the selection process due consideration will be given to a balanced representation of Research Units involved in AUG activities and to attendance to other conferences, as well as to financial boundary conditions.
- It is the responsibility of the authors to initiate in due time the clearance procedures of their home institution **and of the EUROfusion consortium represented by the MST1 Task Force Leaders** as well as to resolve financial issues (where applicable).

- For conference papers equivalent to journal publications the procedure of the previous section applies.
- The acknowledgement has to include: **“This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training programme 2014-2018 under grant agreement No 633053. The views and opinions expressed herein do not necessarily reflect those of the European Commission.”**

#### 4. Style of Publications

- All posters, oral viewgraphs should follow a common format. The official logos for IPP and AUG have to be used. The use of these logos should not exclude the use of additional ones from Research Units, the EUROfusion consortium and other collaborating institutions, in particular when they have played a leading role. Formatting instructions are available on the IPP internal webpage. The appropriate AUG overview paper defining the ‘ASDEX Upgrade team’, which has to be quoted (see section 1) will be available there as well.
- Papers and figures have to be prepared by the authors.
- All papers are centralised in a data base (numbering and archiving) at IPP Garching which is accessible to all collaborators.

#### 5. Cost of Publications

- Internal reports: the production of internal reports should be carried out by the lead Research Unit.
- Conference participation: conference fees (which usually include the cost of reproducing contributed papers) are normally included in the mission expenses which should be carried by the home lab.
- Publications in journals: in general the related costs should be charged to the lead Research Unit unless otherwise agreed between involved institutions.