Text books on fusion research

M. Kaufmann: Plasmaphysik und Fusionsforschung", Springer 2013 (German only)

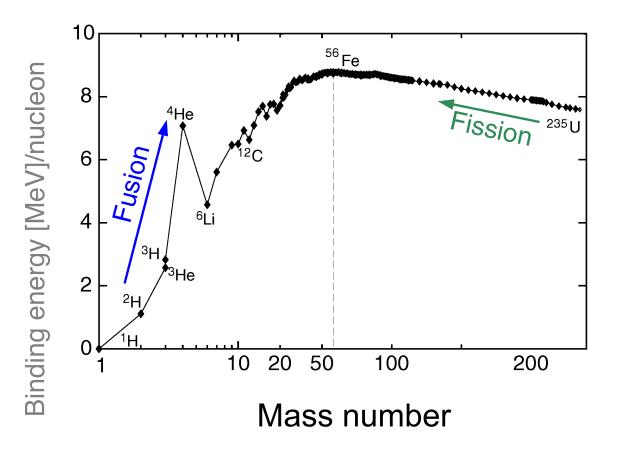
R.J.Goldston, P.H. Rutherford "Introduction to Plasma Physics" (CRC Press English 1995, Springer German 1998)

F. Chen Introduction to Plasma Physics and Controlled Fusion (Springer 2019)

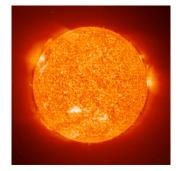
J. Freidberg. Plasma Physics and Fusion Energy (Cambridge 2008)

J. Wesson "Tokamaks" (Oxford 2011)

Gain of energy due to nuclear fusion



How does the sun produce energy?



18th century: sun burns coal?

Given the mass $M_s \sim 2 \ 10^{30}$ kg, sun's life time would be ~ 4600 years (but age of the earth already known to be a few billion years)

• 19th century (Helmholtz): gravitational energy?

Sun makes use of gravitational energy, released by slow contraction: life time ~19 Mio years, still not enough

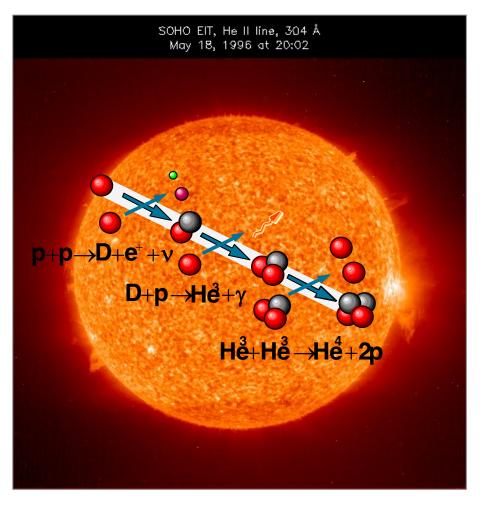
• 20th century (Rutherford 1923) fusion of 4 protons?

But low probability of simultaneous collision of 4 protons

Tunnel effect not yet know, thus temperature was too low given the large Coulomb repulsion

$$E_{pot} = \frac{Z_1 \cdot Z_2 \cdot e^2}{4\pi \cdot \varepsilon_0 \cdot r_m} \quad \text{~~400 keV for Z=1}$$

Proton chain in the sun and in small stars (T<2keV)



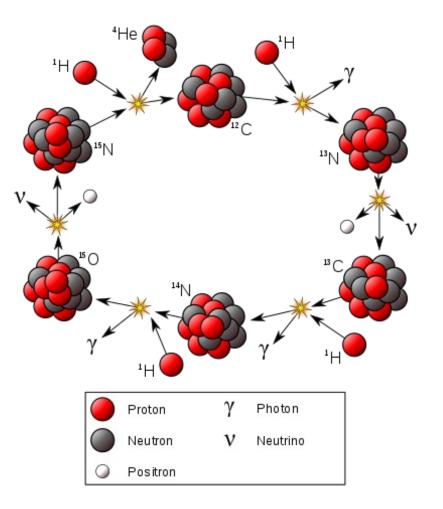
First reaction very slow, as weak interaction involved

$$4p \rightarrow {}^4_2He + 2e^+ + 2\nu_e + 2\gamma + 25.7 \,\mathrm{MeV}$$

600 Mio tons per second protons fused to 596 Mio tons ⁴He

4 $^{1}\text{H}^{+}$ + 2e⁻ \rightarrow $^{4}\text{He}^{2+}$ + 2v_e +26.7 MeV

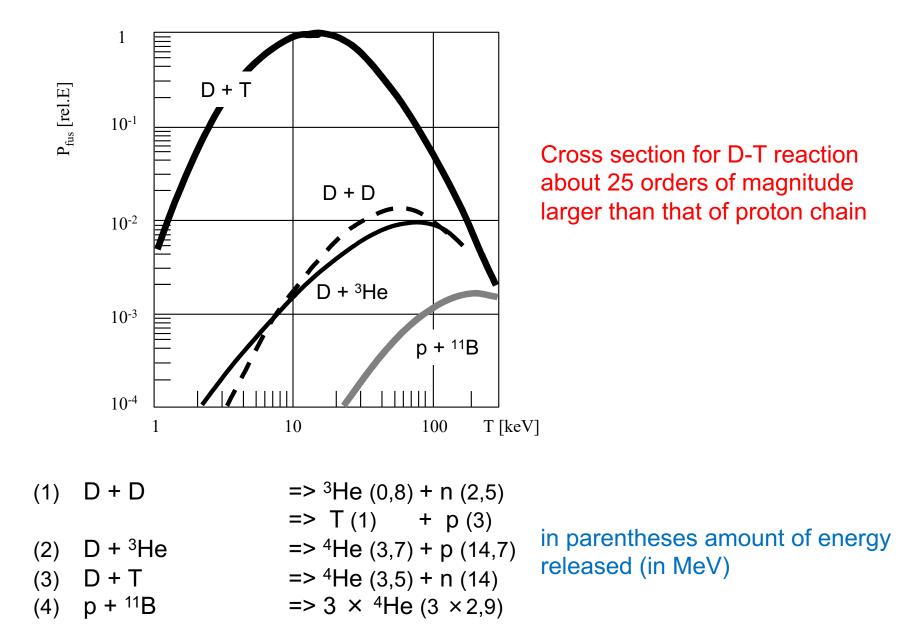
At higher temperatures fusion to heavier elements



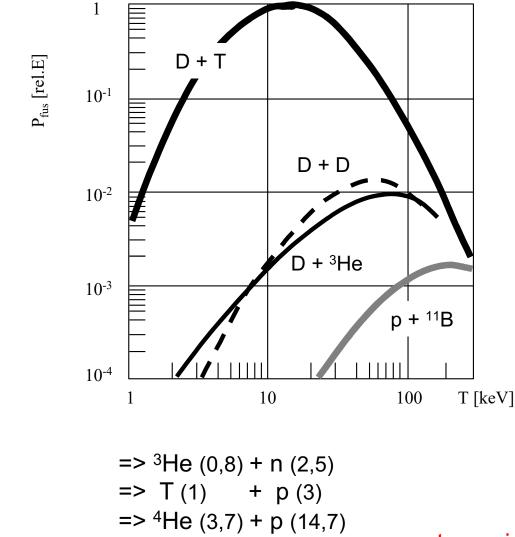


Bethe-Weizsäcker-cycle for hot stars (T > 30 Mio K), above 1.5 times the mass of the sun

Possible fusion reactions: fusion power density



Possible fusion reactions: fusion power density



(1) D + D

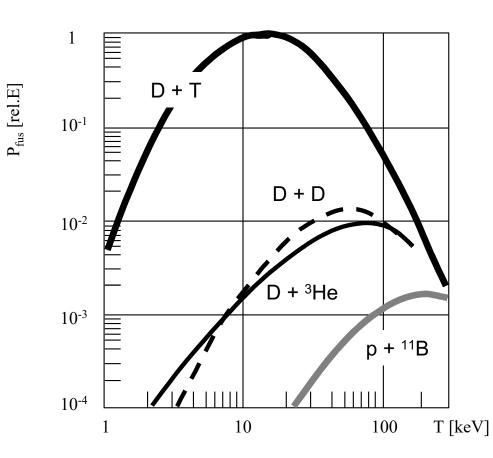
- (2) D + ³He
- (3) D + T
- (4) p + ¹¹B

= 4 He (3,7) + p (3)= 4 He (3,7) + p (14,7) = 4 He (3,5) + n (14) = 3 × 4 He (3 × 2,9)

most promising

Recently more often promised, but very unlikely

Tritium breeding

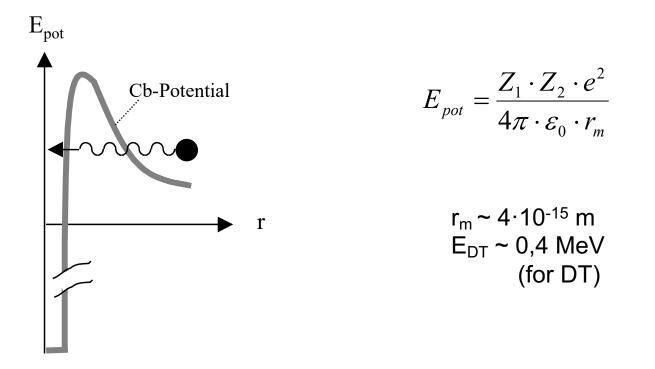


Lithium isotopes:

- 93% ⁷Li
- 7% ⁶Li

Neutron multiplier: Pb or Be

Miminal energy needed to overcome Coulomb repulsion



Only after discovery of the tunnel effect fusion well processes understood:

$$-\frac{Z_1 \cdot Z_2}{v_{rel}}$$

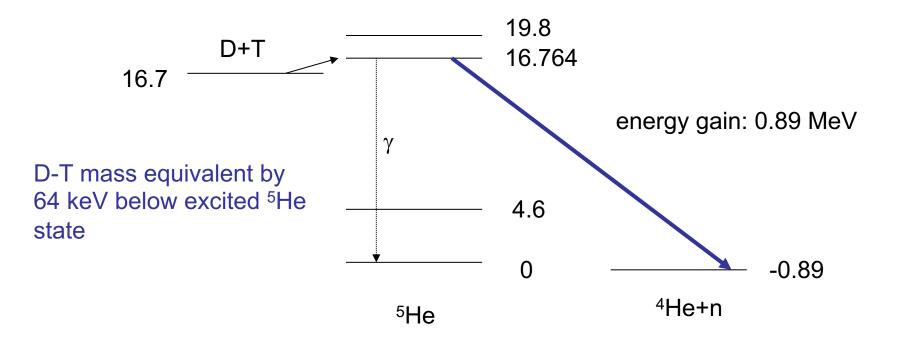
 $W_{tunnel} = const \cdot e^{-v}$

already significant reaction rates at 10...20 keV

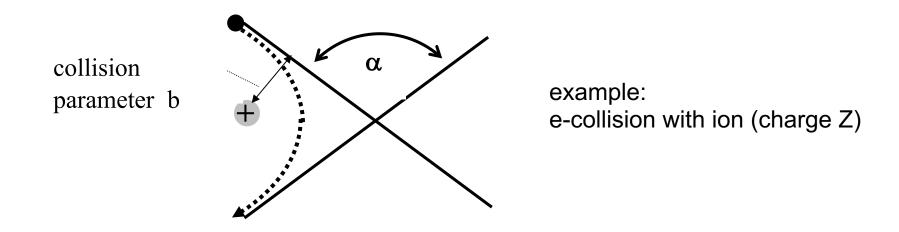
Why has the reaction D-T a large cross section at relatively low tempertures?

resonant mechanism!

energy level diagram of unstable $\frac{5}{2}He$



Fusion vs. Coulomb Collisions (or why we need a thermal plasma)



Rutherford's formula with m_e<<m_i:

$$\tan(\alpha/2) = \frac{W_{pot}}{2W_{kin}} = \frac{e \cdot Z \cdot e}{(4\pi\varepsilon_0) \cdot m_e v^2 \cdot b}$$

cross section for scattering by 90°:

$$\sigma_{90} = \pi . b_{90}^2 = \frac{\pi \cdot Z^2 \cdot e^4}{(4\pi\varepsilon_0)^2 \cdot 4 \cdot (W_{kin})^2}$$

 \Rightarrow Coulomb cross section depends strongly on particle energy : ~ 1/W_{kin}²

T beam on target of deuterium ?

Assume T energy: 100 keV

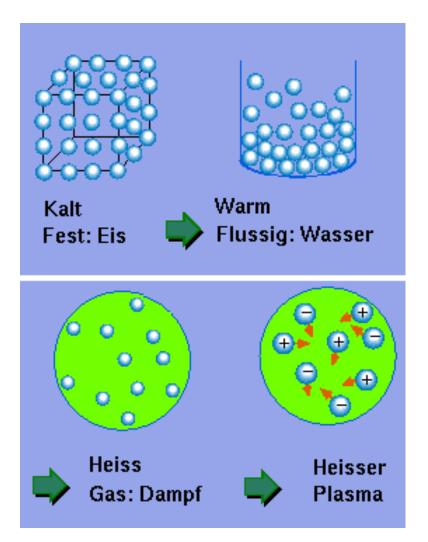
Mean free path for fusion reactions: 2.9 10²⁷ m/n[m⁻³]

Mean free path for Coulomb collision: 1.9 10²² m/n[m⁻³]

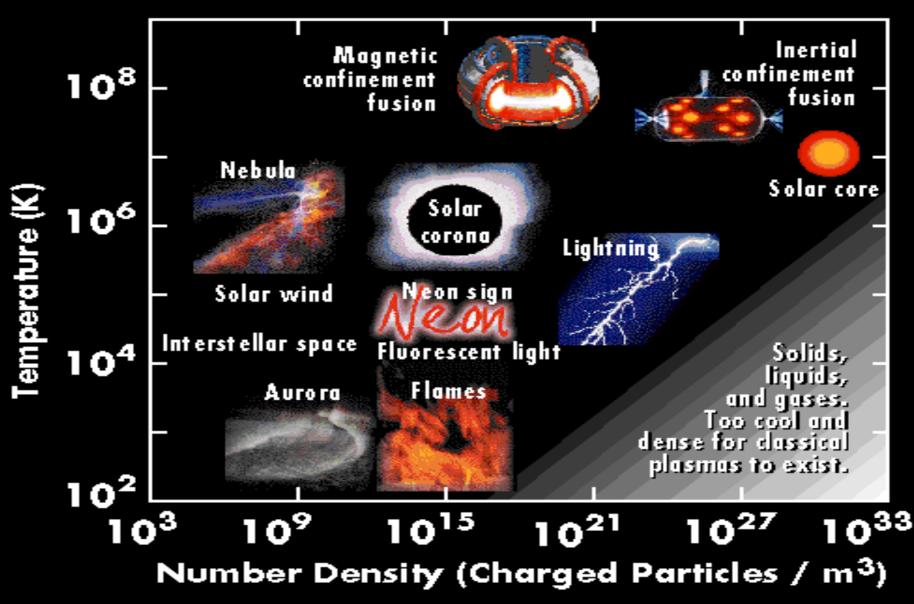
Orders of magnitude more Coulomb collisions than fusion reaction

Need to confine "thermal" plasma

Plasma, the fourth state of matter

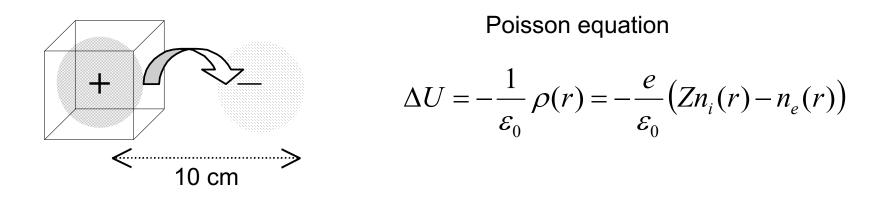


- free electrons and ions
- good electrical conductivity
- long range forces
- high thermal conductivity
- forces due to magnetic fields



Copyright 1996 Contemporary Physics Education Project. Images courtesy of DOE fusion labs, NASA, and Steve Albers.

Quasi neutrality



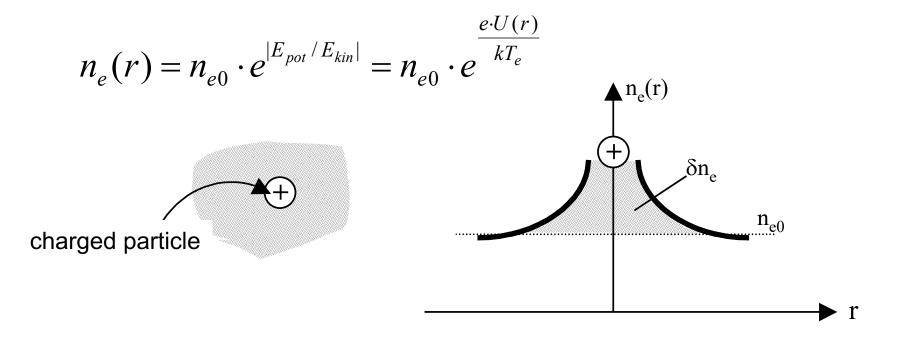
For particle densities of about 10¹⁶ m⁻³ a shift of all electrons by about 10 cm corresponds to a voltage of about 2 Mio V

Macroscopic (> mm ... cm) charge separation in plasmas impossible!

$$n_i - n_e \approx 0$$

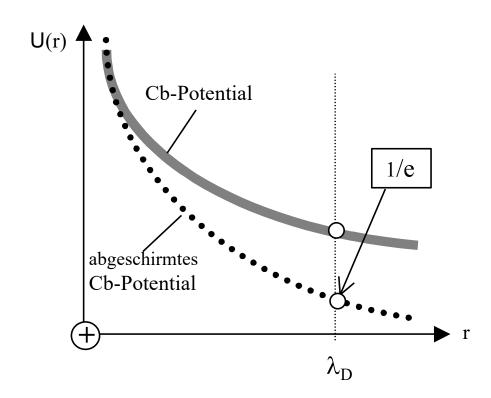
Screening

Local deviations from quasi neutrality (microscopic scales) -> re-ordering of charged particles such that electric fields are screened on macroscopic scales



Also external fields are screened

Debye Screening



r << λ_D : Coulomb-Potential

r >> λ_D : outside Debye length only very small electrical

Inside Debye length charge separation possible-> Plasma extensions has to be large compared to Debye length

$$N_e = n_e \cdot \frac{4\pi}{3} \cdot \lambda_D^3 = \left(\frac{\varepsilon_0}{e^2}\right)^{3/2} \cdot \frac{kT_e^{3/2}}{\sqrt{n_e}}$$

	λ_{D}	N _D
fusion plasma (10 keV, 10 ²⁰ m ⁻³)	75 μm	2·10 ⁸
technical plasma (5 eV, 10 ¹⁷ m ⁻³)	50 μm	6·10⁴
astrophysical Plasma (1 eV, 10 m ⁻³)	75 km	5·10 ¹¹
dense plasma (1,5 eV, 10 ²⁴ m ⁻³)	0,01 μm	3 =limit to non-ideal plasmas

1 eV = 11600 K bzw. 10 keV~100 Mio K

Plasma properties

$$n_e = Z n_i$$
 = strict quasi neutrality
 $L >> \lambda_D$ = system size large compared to Debye length
 $N_{tot} >> N_D$ = sufficient number of particles in the system

To overcome the Coulomb barrier, we need a thermal plasma as the cross section for Coulomb collisions is larger than the fusion cross section (>100 times)!

Fusion cross section in a thermal plasma:

$$\langle \sigma(u)u\rangle = \frac{(m_a m_b)^{3/2}}{(2\pi kT)^3} \int d^3 v_a \int d^3 v_b \,\sigma(|\mathbf{v}_a - \mathbf{v}_b|) \exp\left[-\frac{m_a v_a^2}{2kT}\right] \exp\left[-\frac{m_b v_b^2}{2kT}\right]$$

Centre of mass-system:
$$E_{kin} = \frac{1}{2}m_a v_a^2 + \frac{1}{2}m_b v_b^2 = \frac{1}{2}(m_a + m_b)V^2 + \frac{1}{2}m_r u^2$$

$$\langle \sigma(u) \, u \rangle = \frac{(m_a m_b)^{3/2} (4\pi)^2}{(2\pi kT)^3} \int_0^\infty dV \, V^2 \, \exp\left[-\frac{(m_a + m_b)V^2}{2kT}\right] \int_0^\infty du \, \sigma(u) \, u^3 \, \exp\left[-\frac{m_r u^2}{2kT}\right]$$

$$\int x^2 e^{-a^2 x^2} dx = \frac{\sqrt{\pi}}{4a^3} \qquad E_r = 1/2 m_r u^2$$

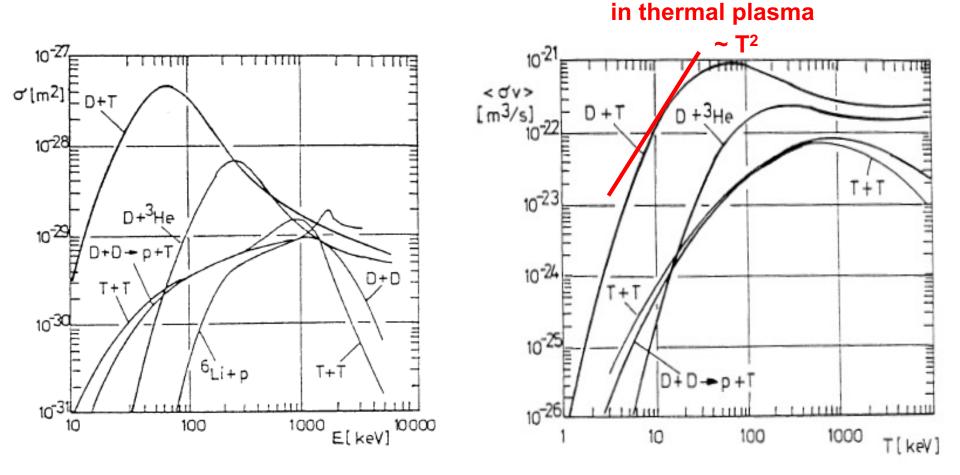
Fusion cross section in a thermal plasma:

$$\langle \sigma(u) \, u \rangle = \frac{(m_a m_b)^{3/2} (4\pi)^2}{(2\pi kT)^3} \int_0^\infty dV \, V^2 \, \exp\left[-\frac{(m_a + m_b)V^2}{2kT}\right] \int_0^\infty du \, \sigma(u) \, u^3 \, \exp\left[-\frac{m_r u^2}{2kT}\right]$$
$$\int x^2 \, e^{-a^2 x^2} \, dx = \frac{\sqrt{\pi}}{4a^3} \qquad \qquad E_r = 1/2 \, m_r \, u^2$$

$$\langle \sigma(u) u \rangle = \frac{4}{(2m_r \pi)^{1/2} (kT)^{3/2}} \int_0^\infty dE_r \,\sigma(E_r) E_r \exp\left(-\frac{E_r}{kT}\right)$$

Thermal plasma with 10 20 keV needed for gaining energy from fusion reactions

Cross section:



Deuterium-Tritium-Fusion

Fusion power

$$P_{fus} = \frac{n_1 n_2}{2} \langle \sigma v \rangle \, \mathbf{Q}$$

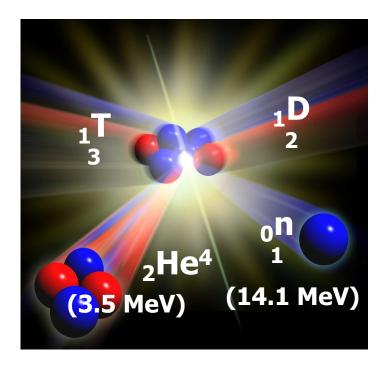
D-T fusion:

highest fusion power for $n_D:n_T = 1: 1$

$$n_{DT} = n_D + n_T$$

$$P_{fus,DT} = \frac{n_{DT}^2}{4} \cdot \langle \sigma \mathbf{v} \rangle_{DT} \cdot Q_{DT}$$

How is energy gain Q_{DT} distributed to fusion products?



Deuterium-Tritium-Fusion

How is energy gain Q_{DT} distributed to fusion products?

Follows from momentum and energy conservation

momentum conservation:

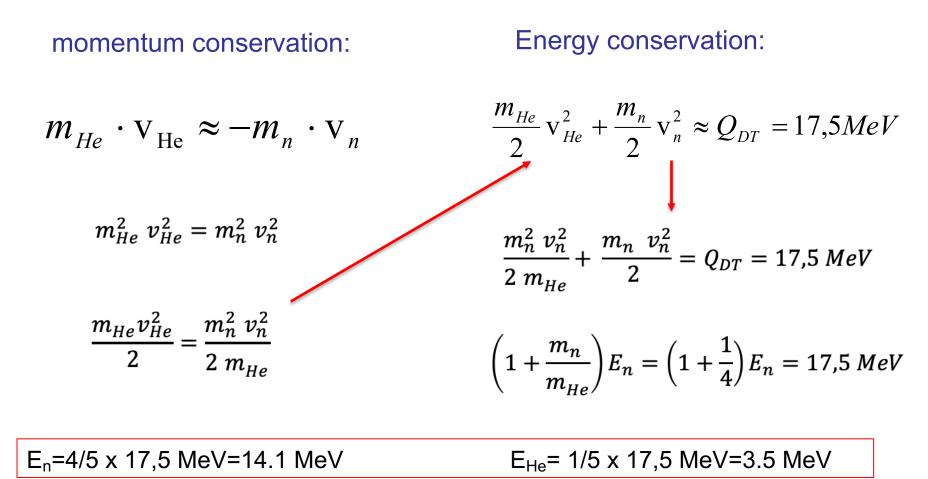
Energy conservation:

$$m_{He} \cdot v_{He} \approx -m_n \cdot v_n$$

$$\frac{m_{He}}{2} v_{He}^{2} + \frac{m_{n}}{2} v_{n}^{2} \approx Q_{DT} = 17,5 MeV$$

As momentum of the particles prior to fusion reaction is negligible

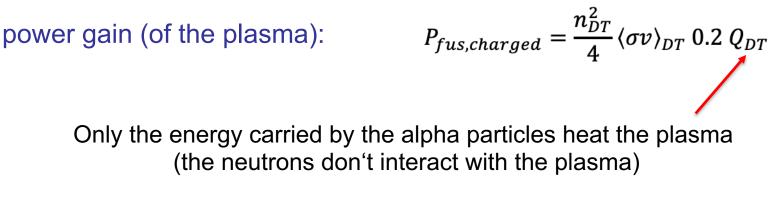
Deuterium-Tritium-Fusion: energy of fusion products



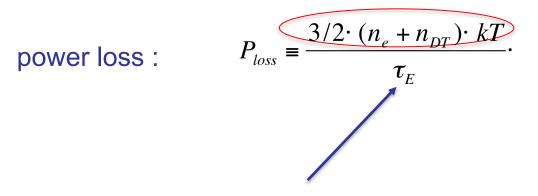
Energy gain is distributed to fusion products according to:

$$\frac{E_{He}}{E_n} = \frac{m_n}{m_{He}} = 1:4$$

Power balance – Lawson criterion



total thermal energy of the plasma



 τ_E : Energy confinement time (characteristic cooling down time)

Power balance – Lawson criterion

$$P_{fus,charged} = \frac{n_{DT}^2}{4} \langle \sigma v \rangle_{DT} \ 0.2 \ Q_{DT} \qquad P_{loss} = \frac{3/2 \cdot (n_e + n_{DT}) \cdot kT}{\tau_E} \cdot$$
Fusion cross section: $\langle \sigma v \rangle_{DT} \sim T^2$ (at T ~ 10 ... 20 keV)
Quasi neutrality: $n_e = n_{DT}$

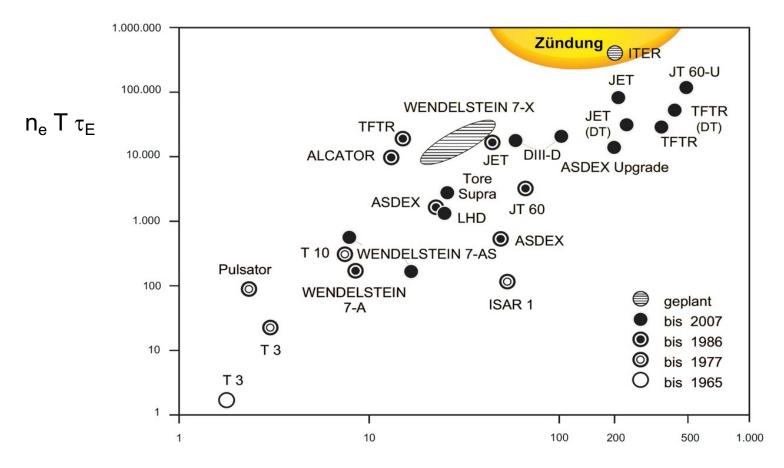
$$P_{fus,charged} \sim \frac{n_e^2}{4} T^2 \ Q_{DT}/5 \qquad P_{loss} \sim \frac{3n_e}{\tau_E} kT$$
power balance : $P_{fus,charged} = P_{loss}$

Lawson criterion:

$$n_e \cdot T \cdot \tau_E = const$$

$$\langle n_e \rangle \cdot T_i(0) \cdot \tau_E = const$$

Figure of merit in fusion research



Т

Inertial fusion

 $n\tau_E$ and T are fixed, but pressure p=nT is free to choose

Inertial fusion:

- Fast heating with laser or Heavy ion beam
- confinement due to inertia (ion sound wave time scale)
- Miniature explosion

n large (10³¹ m⁻³), τ_E small (10⁻¹⁰ s)

 \Rightarrow pressure comparable to the solar core (!)

Ignition condition changes in the presence of impurities

Lawson criterion
$$n_e \cdot T \cdot \tau_E = const$$

achieved for "pure" plasmas: $n_e = n_{DT}$

- Actually what counts for fusion reaction is n_{DT}
- In reality, not only D,T ions, but at least also He, and in addition impurities due to interaction with wall materials

With impurity ions Lawson criterion will be modified by impurity ions for two reasons:

<u>Dilution:</u> at same plasma pressure p∼n_eT less D-T ions Radiation: mainly bremsstrahlung (but also line radiation)

Ignition condition: Effect of dilution

Quasi neutrality, more generally: $n_e = \sum_z Z n_{z,i} \rightarrow 1 = \sum_z Z n_{z,i} / n_e$

If only *D* and *T* ions:

$$\mathbf{1} = \mathbf{f}_{DT} \qquad f_{DT} \equiv \frac{n_D + n_T}{n_e}$$

"dilution" due to impurity ions:

$$1 = f_{DT} + 2f_{He} + \dots + 6f_C = f_{DT} + 2f_{He} + \sum_{i \ge 3} Z_i f_i$$

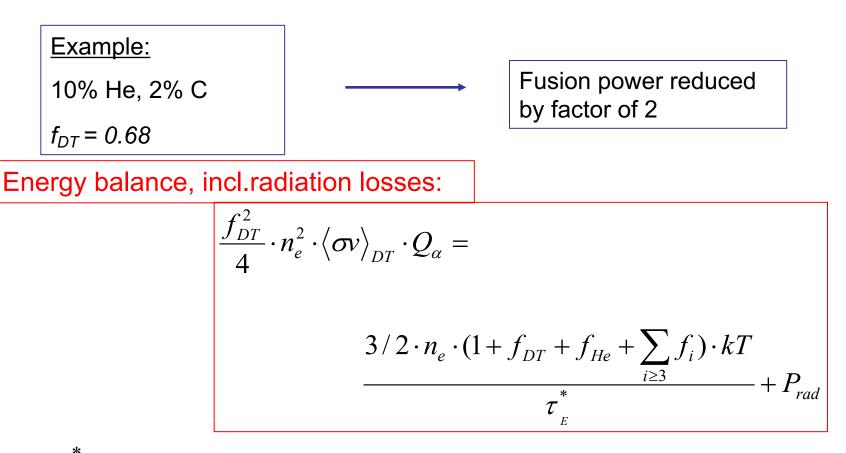
$$f_{DT} \equiv \frac{n_D + n_T}{n_e}; \qquad f_{He} \equiv \frac{n_{He}}{n_e}; \qquad f_C \equiv \frac{n_C}{n_e}$$

Example: 10% He, 2% C $1 = f_{DT} + 0.2 + 0.12$ $f_{DT} = 0.68$

Ignition condition: Effect of dilution

at the same pressure less D-T-ions with significant influence on fusion power:

$$P_{fus,charged} = rac{n_{DT}^2}{4} \langle \sigma v \rangle_{DT} \ Q_{lpha} = rac{f_{DT}^2 n_e^2}{4} \langle \sigma v \rangle_{DT} \ Q_{lpha}$$



 r_{E} : energy confinement time, corrected for radiation losses

Energy balance:

$$\frac{\int_{DT}^{2} \cdot n_{e}^{2} \cdot \langle \sigma v \rangle_{DT} \cdot Q_{\alpha}}{4} = \frac{3/2 \cdot n_{e} \cdot (1 + f_{DT} + f_{He} + \sum_{i \ge 3} f_{i}) \cdot kT}{\tau_{E}^{*}} + P_{rad}$$

Particle balance (He):

$$\frac{f_{DT}^{2}}{4} \cdot n_{e}^{2} \cdot \langle \sigma v \rangle_{DT} = \frac{n_{e} \cdot f_{He}}{\tau_{p(He)}}$$
"production rate " of He "loss rate " of He (transport)

Combine energy and particle balance and solve for f_{He}:

$$\frac{\int_{DT}^{2} \cdot n_{e}^{2} \cdot \langle \sigma v \rangle_{DT} \cdot Q_{\alpha} =}{\frac{3/2 \cdot n_{e} \cdot (1 + f_{DT} + f_{He} + \sum_{i \ge 3} f_{i}) \cdot kT}{\tau_{E}^{*}} + P_{rad}}$$

$$\frac{\int_{DT}^{2} \cdot n_{e}^{2} \cdot \langle \sigma v \rangle_{DT} = \frac{n_{e} \cdot f_{He}}{\tau_{p(He)}}}{(2)}$$
(1)

(1)/(2): $Q_{\alpha} = \frac{3}{2} \frac{(1 + f_{DT} + f_{He} \dots)kT}{\tau_{E}^{*}} \frac{\tau_{p}}{f_{He}} + \frac{P_{rad}\tau_{p}}{n_{e}f_{He}}$

$$Q_{\alpha} = \frac{3}{2} \frac{(1 + f_{DT} + f_{He} \dots)kT}{\tau_{E}^{*}} \frac{\tau_{p}}{f_{He}} + \frac{P_{rad}\tau_{p}}{n_{e}f_{He}}$$

Solve for f_{He}:

$$f_{He} = \frac{3}{2} \frac{\tau_p}{\tau_E^*} \frac{kT}{Q_a} (1 + f_{DT} + f_{He} \dots) \left[1 + \frac{P_{rad}}{n_e} \frac{2\tau_E^*}{3kT(1 + f_{DT} + f_{He} \dots)} \right]$$
With P-P_{rad}=

$$\frac{3/2 \cdot n_e \cdot (1 + f_{DT} + f_{He} + \sum_{i \ge 3} f_i) \cdot kT}{\tau_E^*}$$

$$f_{He} = \frac{\tau_p}{\tau_E^*} \cdot \frac{3kT}{Q} \cdot \frac{1}{(1 - \frac{P_{rad}}{P})} \cdot \left(\frac{1 + f_{DT} + f_{He} + \sum_{i \ge 3} f_i}{2} \right)$$

$$f_{He} = \frac{\tau_p}{\tau_E^*} \cdot \frac{3kT}{Q} \cdot \frac{1}{\left(1 - \frac{P_{rad}}{P}\right)} \cdot \left(\frac{1 + f_{DT} + f_{He} + \sum_{i \ge 3} f_i}{2}\right)$$

simplify (f_{He} << f_{DT}):

$$f_{He} \approx \frac{\tau_p}{\tau_E^*} \cdot \frac{3kT}{Q} \cdot \frac{1}{\left(1 - \frac{P_{rad}}{P}\right)}$$

Important: particle confinement time needs to be sufficiently short

Experience:
$$\frac{\tau_p}{\tau_E^*} \ge \frac{\chi_\perp}{D_\perp}; \quad \frac{\tau_p}{\tau_E^*} \approx 3$$

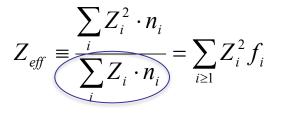
Now include radiation losses:

Main contributions: Bremsstrahlung and line radiation

Bremsstrahlung:

$$P_{rad,brems} = c_{brems} \cdot n_e \cdot \sum_{i \ge 1} Z_i^2 \cdot n_i \cdot \sqrt{T}$$
$$= c_{brems} \cdot n_e^2 \cdot Z_{eff} \cdot \sqrt{T}$$

Effective charge number:



=n_e

Now include radiation losses:

Bremsstrahlung:

$$P_{rad,brems} = c_{brems} \cdot n_e \cdot \sum_{i \ge 1} Z_i^2 \cdot n_i \cdot \sqrt{T}$$

$$= c_{brems} \cdot n_e^2 \cdot Z_{eff} \cdot \sqrt{T}$$

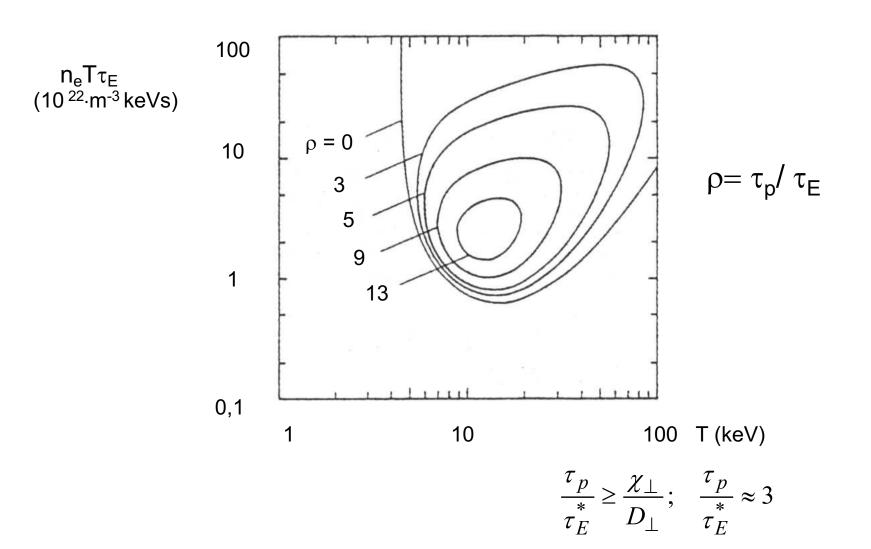
$$Z_{eff} \equiv \frac{\sum_{i \ge 1} Z_i^2 \cdot n_i}{\sum_{i \ge 1} Z_i \cdot n_i} = \sum_{i \ge 1} Z_i^2 f_i$$
Power balance (incl. radiation losses):

$$n_e^2 \cdot \left\{ \frac{f_{DT}^2}{4} \cdot \langle \sigma v \rangle_{DT} \cdot Q_{DT} - c_{brems} \cdot \left(f_{DT} + 4f_{He} + \sum_{i \ge 3} Z_i^2 f_i \right) \cdot \sqrt{T} \right\}$$

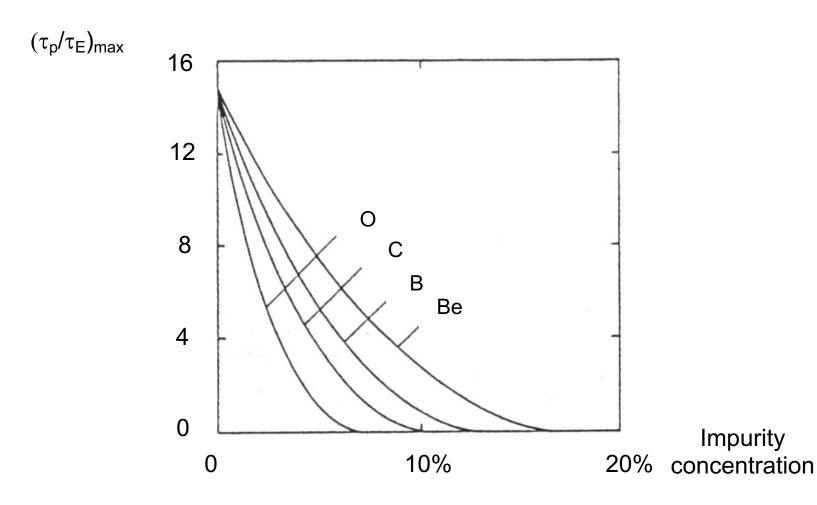
$$= \frac{3/2 \cdot n_e \cdot (1 + f_{DT} + 2f_{He} + \sum_{i \ge 1} Z_i f_i) \cdot kT}{\tau_e^*}$$

$$\tau_E^* : \text{ energy confinement time, corrected for radiation losses}$$

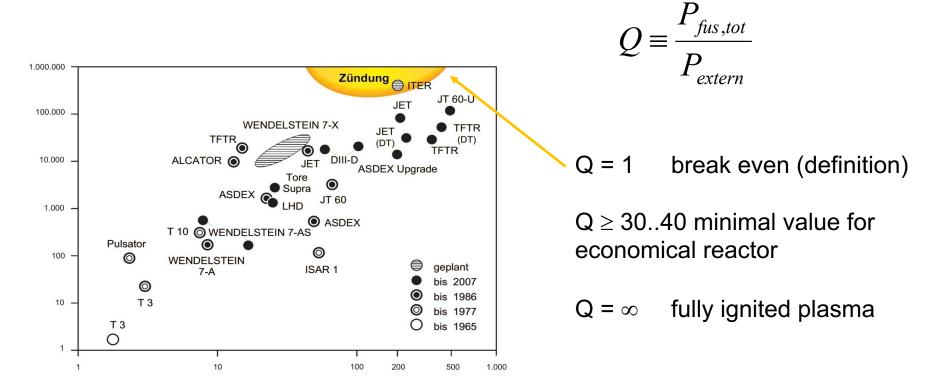
Ignition condition with helium ash:



Other impurities:



Requirements for a reactor



Cold fusion ?

1989 Pons and Fleischmann claimed:

- fusion of hydrogen (protium, deuterium, tritium) nuclei in palladium during electrolysis
- their explaination: lower Coulomb barrier if hydrogen in solid state
- However: not reproducible, explaination wrong

Myon-catalysed fusion

Myonic hydrogen:

Binding length:
$$a = a_0 \frac{m_e}{m_{\mu}} = 5.3 \cdot 10^{-11} \, m / 207 \approx 2.5 \cdot 10^{-13} \, m$$

Add myons to D_2T_2 -gas mix:

•Myons slowed down by collisions with molecules

•Formation of D₂, T₂ and D-T molecules

Probability for tunneling is increased: reaction time 10⁻¹⁰s

$$(D\mu T)^+ \rightarrow {}^4_2He + n + \mu^- + 17.6 \,\mathrm{MeV}$$

Myons: catalyst

Myon catalysed fusion

- generate myons in accelerator (3 GeV)
- Myons decay with ~2 10⁻⁶ s $\mu^- \rightarrow e^- + \overline{\nu_e} + \nu_{\mu}$
- Slow down in $D_2 T_2$ gas mix , time for building $D \mu T\!\!: 10^{\text{-9}} \text{ s}$
- Myon could catalyse about 2000 fusion reactions

problem: competing reaction (probability: 0.6%)

$$(D\mu T)^+ \rightarrow {}^4_2He\mu^- + n + 17.6\,\mathrm{MeV}$$

Probability that myon "survives" N reactions: $(1 - 0.006)^{N} \sim 1 - 0.006 N$ One myon can theoretically catalyse only N = 1/0.006 = 170 fusion reactions (in experiment: about 100)

no positive energy balance

Neutron-free fusion reactions

 $^{2}D + ^{3}T$ → ⁴He (3.5MeV) + n (14.1MeV) + 17.6MeV

 $^{2}D + ^{3}He \rightarrow ^{4}He (3.7MeV) + p (14.6MeV) + 18.3MeV (but: D-D reaction and <math>^{3}He$ availability)

 $p + {}^{11}B \rightarrow 3{}^{4}He + 8.7MeV$

10-27

10-28

 $\overset{()}{\text{Cross section }} \overset{()}{\text{m}^{2}} 10^{-30} \\ \overset{()}{\text{m}^{2}} 10^{$

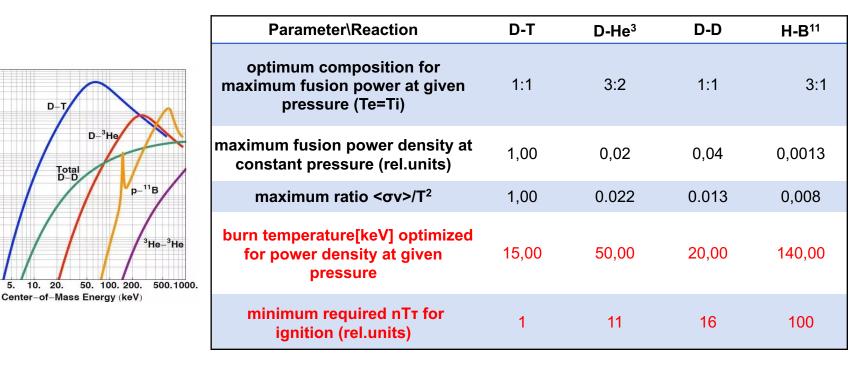
10-31

10-32

2.

10.

5.



also the impurity profiles play a role:

